

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

2651

Inventor(s): Leslie G. Christie, Jr.

Confirmation No.: 7054

Application No.: 09/974,602

Examiner: Daniell L. Negron

Filing Date: October 9, 2001

Group Art Unit: 2651

Title: WORM MAGNETIC TAPE WITH CARTRIDGE MEMORY SYSTEM AND METHOD

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: Dec. 3, 2004

OR

( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_ Number of pages: \_\_\_\_\_

Typed Name: Phyllis Ewing

Signature: Phyllis Ewing

Respectfully submitted,

Leslie G. Christie, Jr.

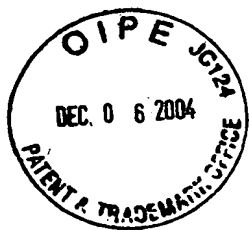
By

Jerry L. Mahurin  
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Reg. No. 34,661

Date: Dec. 3, 2004

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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

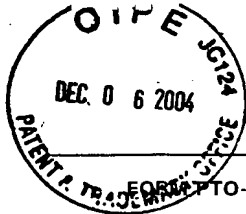
Respectfully submitted,  
Leslie G. Christie, Jr.

By   
Jerry L. Mahurin

Attorney/Agent for Applicant(s)  
Reg. No. 34,661

Date: Dec. 03, 2004

Telephone No.: (214) 855-8386

**PATENT APPLICATION**

Sheet 1 of 2

FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

**10011666-1**

APPLICATION NO.

**09/974,602**

CONFIRMATION NO.

**7054**

APPLICANT

**Leslie G. Chrisitie, Jr.**

FILING DATE

**Oct. 09, 2001**

GROUP

**2651****REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,978,546	Nov. 2, 1999	Abe et al.	
	1B	6,674,596	Jan. 6, 2004	Takayama	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

**FOREIGN PATENT DOCUMENTS**

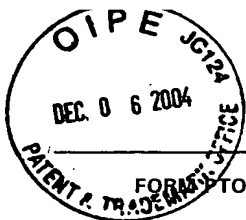
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	EP 0 362 561	Apr.11, 1990	Grundg E.M.V.		
	1M	EP 0 594 450	Apr.27, 1994	Sony Corporation		
	1N	EP 0 621 593	Oct.26,1994	Matsushita Electric		
	1O	JP 6 302 049	Oct.28, 1994	Matsushita Electric		
	1P	GB 2 305 535	Apr.09, 1997	Mircea Michael		

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	1Q	European Search Report issued for EP 02 25 6958, dated October 10, 2004.
	1R	
	1S	

EXAMINER

DATE CONSIDERED

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Sheet 2 of 2

FORM PTO-1449

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	2A				
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	2F				
	2G				
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	2I				
	2J				
	2K				

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L	JP2000268443	Sep. 29, 2000	Sony Corporation		
	2M	JP2001135000	May 18, 2001	Hitachi Ltd.		
	2N					
	2O					
	2P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED